



01-07-09

1m 2828

FEE TRANSMITTAL FOR FY 2009

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known:

Application No. 10/593,107

Filing Date October 25, 2007

First Named Inventor Shu Yuan, et al

Examiner Name _____

Art Unit _____

Attorney Docket No. 7989P003

☒ Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

☐ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☒ Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below except for the filing fee

☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity		Small Entity		Fee Description		Fees Paid (\$)
Code	Fee (\$)	Code	Fee (\$)			
1011	330	2011	165	Utility application filing fee	1,090/545	_____
1111	540	2111	270	Utility search fee		_____
1311	220	2311	110	Utility examination fee		_____
1012	220	2012	110	Design application filing fee	460/230	_____
1112	100	2112	50	Design search fee		_____
1312	140	2312	70	Design examination fee		_____
1013	220	2013	110	Plant filing fee	720/360	_____
1113	330	2113	165	Plant search fee		_____
1313	170	2313	85	Plant examination fee		_____
1014	330	2014	165	Reissue filing fee	1,520/760	_____
1114	540	2114	270	Reissue search fee		_____
1314	650	2314	325	Reissue examination fee		_____
1005	220	2005	110	Provisional application filing fee		_____
SUBTOTAL (1)						<u>\$0.00</u>

2. EXCESS CLAIM FEES**Fee Description****Large Entity Small Entity****Fee Fee Fee Fee****Code (\$) Code (\$)**

1202 52 2202 26

1201 220 2201 110

1203 390 2203 195

1204 220 2204 110

1205 52 2205 26

Fee Description

Each claim over 20

Each independent claim over 3

Multiple dependent claims, if not paid

Reissue: each claim over 20 and more than in the original patent

Reissue: each independent claim more than in the original patent

Extra Claims**Fee****Fees Paid (\$)****Total Claims** _____ **- 20 or HP =** _____**X \$ 26.00 =** _____

HP = highest number of total claims paid for, if greater than 20

Independent Claims _____ **- 3 or HP =** _____**X \$110.00 =** _____

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims _____ **=** __________ **=** _____**SUBTOTAL (2) \$0.00****3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = _____	/ 50 = _____ (round up to whole number)	X \$135.00	_____

Large Entity**Fee Fee****Code (\$)**

1081 270

1082 270

1083 270

1084 270

1085.....270

Small Entity**Fee Fee****Code (\$)**

2081 135

2082 135

2083 135

2084 135

2085 135

Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):

Utility

Design

Plant

Reissue

Provisional

SUBTOTAL (3) \$ 0.00

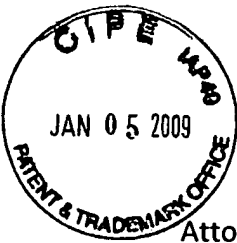
FEE CALCULATION (continued)**4. OTHER FEE(S)**

				<u>Fees Paid (\$)</u>																																																																																																																																																																																																			
Non-English Specification, \$130 fee (no small entity discount)																																																																																																																																																																																																							
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late filing fee or oath	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	1053	130	1053	130	Non-English specification	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	1813	8,800	1813	8,800	Request for inter parties reexamination	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	1251	130	2251	65	Extension for reply within first month	1252	490	2252	245	Extension for reply within second month	1253	1,110	2253	555	Extension for reply within third month	1254	1,730	2254	865	Extension for reply within fourth month	1255	2,350	2255	1,175	Extension for reply within fifth month	1401	540	2401	270	Notice of Appeal	1402	540	2402	270	Filing a brief in support of an appeal	1403	1,080	2403	540	Request for oral hearing	1451	1,510	1451	1,510	Petition to institute a public use proceeding	1452	540	2452	270	Petition to revive - unavoidable	1453	1,620	2453	810	Petition to revive - unintentional	1501	1,510	2501	755	Utility issue fee (or reissue)	1502	860	2502	430	Design issue fee	1503	1,190	2503	595	Plant issue fee	1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	1806	180	1806	180	Submission of Information Disclosure Stmt	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	1809	810	2809	405	For filing a submission after final rejection (see 37 CFR 1.129(a))	1814	140	2814	70	Statutory Disclaimer	1810	810	2810	405	For each additional invention to be examined (see 37 CFR 1.19(b))	1801	810	2801	405	Request for Continued Examination (RCE)	1802	900	1802	900	Request for expedited examination of a design application	1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	1505	300	1505	300	Publication fee for republication	1803	130	1803	130	Request for voluntary publication or republication	1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority	
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*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Lester J. VincentSignature: Date: January 5, 2009Reg. Number: 31,460Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney Docket No.: 7989P003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

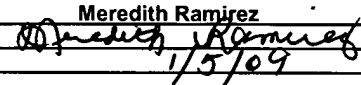
In Re Patent Application of:)	
)	
Shu Yuan, et al.)	Examiner: Not yet assigned
)	
Application No.: 10/593,107)	Art Unit: 2828
)	
Filed: October 25, 2007)	
)	
For: FABRICATION OF SEMICONDUCTOR DEVICES)	
_____)	

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

<u>EXPRESS MAIL STATEMENT</u>	
"Express Mail" mailing label number: EM 141 755 595 US	
Date of Deposit: January 5, 2009	
I hereby state that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
Meredith Ramirez	(Typed or printed name of person mailing paper or fee)
	(Signature of person mailing paper or fee)
1/5/09	(Date Signed)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

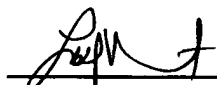
- XXX 37 C.F.R. §1.97(b).
- _____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- _____ A statement pursuant to 37 C.F.R. §1.97(e) or
- _____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- _____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 5, 2009



Lester J. Vincent
Reg. No. 31,460

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